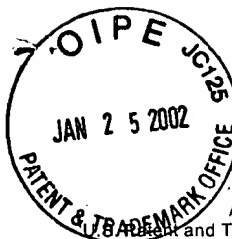


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GP # 2122

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/936,850
Filing Date	September 17, 2001
First Named Inventor	Sridharan RANGARAJAN
Group Art Unit	2122
Examiner Name	Unknown
Attorney Docket Number	2085-00100 (KRD-P003US(WO))

Total Number of Pages in This Submission 3

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
  1. Form PTO-1449 (1 p.);
  2. FIVE (5) References; and
  3. Acknowledgment postcard.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Jonathan M. Harris, Reg. No. 44,144  
CONLEY, ROSE & TAYON, P. C.

Signature

*Jonathan M. Harris*

Date

January 9, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 9, 2002

Typed or printed name

Colleen F. Brown

Signature

*Colleen F. Brown*

Date

January 9, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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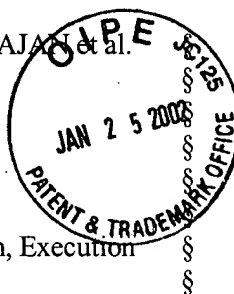
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sridharan RANGARAJAN et al.

Serial No.: 09/936,850

Filed: September 17, 2001

For: Software Distribution, Execution  
And Upgrading



Group Art Unit: 2122

Examiner: Unknown

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Att'y Docket No. 2085-00100  
Client Docket No. KRD-P003US(WO)  
Date: January 9, 2002

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action has been received in the present application. Therefore, no filing fee is due for the filing of this Information Disclosure Statement.

Respectfully submitted,

Jonathan M. Harris  
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(713) 238-8000 (Phone)  
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ATTORNEY FOR APPLICANTS

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Applicants  
Sridharan RANGARAJANFiling Date  
September 17,  
2001Group  
2122**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5,421,009	05/30/95	Platt	395	600	December 22, 1993
	AB	5,752,005	05/12/98	Jones	395	500	January 22, 1996
	AC	5,845,077	12/01/98	Fawcett	395	200.51	November 27, 1995
	AD	5,881,236	03/09/99	Dickey	395	200.51	April 26, 1996

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	AE	WO96/24231	08/08/96	PCT				X

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.